

Part 2B of Form ADV: Brochure Supplement

Brian McAuley
CRD#4937688
Eagle Harbor Asset Management, Inc.
701 Fifth Avenue #4200
(206) 224-4101
(425) 876-4875
November 28, 2023

This brochure supplement provides information about Brian McAuley that supplements the Eagle Harbor Asset Management, Inc brochure. You should have received a copy of that brochure. Please contact Andrew P. Loechl, CFA at (206) 300-1423 if you did not receive Eagle Harbor Asset Management, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Brian McAuley is available on the SEC's website at www.adviserinfo.sec.gov.

Table of Contents	Page
Item 1 - Cover Page.....	1
Table of Contents	2
Item 2 - Educational Background and Business Experience	3
Item 3 - Disciplinary Information	4
Item 4 - Other Business Activity	5
Item 5 – Additional Compensation	5
Item 6 – Supervision	5
Item 7 – Arbitration and Other Claims	5

Item 2 Educational Background and Business Experience

Brian Richard McAuley
Born November 3, 1975

EDUCATION

University of Oregon, Bachelor of Science, 1999

BUSINESS BACKGROUND

August 2023 - present

Eagle Harbor Asset Management, Inc. Seattle, WA
Partner and Chief Compliance Officer November, 2023
Partner as of August 2023

December 2021 – Present

Sitka Pacific Publishing, LLC
Editor & Publisher

June 2005 – Present

Sitka Pacific Capital Management, LLC
Founder and Chief Investment Officer

2003 – 2007

Amgen, Inc.

Item 3 Disciplinary Information

Brian McAuley has had no legal or disciplinary history or events as described in A, B, C, and D below.

A. A criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which the *supervised person* 1. was convicted of, or pled guilty or nolo contendere (“no contest”) to (a) any felony; (b) a misdemeanor that involved investments or an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses; 2. is the named subject of a pending criminal proceeding that involves an *investment-related* business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses; 3. was *found* to have been *involved* in a violation of an *investment-related* statute or regulation; or 4. was the subject of any *order*, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, the *supervised person*

from engaging in any *investment-related* activity, or from violating any *investment-related* statute, rule, or *order*.

B. An administrative *proceeding* before the SEC, any other federal regulatory agency, any state regulatory agency, or any *foreign financial regulatory authority* in which the *supervised person* 1. was *found* to have caused an *investment-related* business to lose its authorization to do business; or 2. was *found* to have been *involved* in a violation of an *investment-related* statute or regulation and was the subject of an *order* by the agency or authority (a) denying, suspending, or revoking the authorization of the *supervised person* to act in an *investment-related* business; (b) barring or suspending the *supervised person's* association with an *investment-related* business; (c) otherwise significantly limiting the *supervised person's investment-related* activities; or (d) imposing a civil money penalty of more than \$2,500 on the *supervised person*.

C. A *self-regulatory organization (SRO) proceeding* in which the *supervised person* 1. was *found* to have caused an *investment-related* business to lose its authorization to do business; or 2. was *found* to have been *involved* in a violation of the *SRO's* rules and was: (i) barred or suspended from membership or from association with other members, or was expelled from membership; (ii) otherwise significantly limited from *investment-related* activities; or (iii) fined more than \$2,500.

D. Any other *proceeding* in which a professional attainment, designation, or license of the *supervised person* was revoked or suspended because of a violation of rules relating to professional conduct. If the *supervised person* resigned (or otherwise relinquished his attainment, designation, or license) in anticipation of such a *proceeding* (and the adviser knows, or should have known, of such resignation or relinquishment), disclose the event.

Item 4 Other Business Activities

A. Investment Related Business

None

B. Any Other Business or Occupation

Brian McAuley is a shareholder of McAuley LCX Corporation, a private, family-owned real estate holding company.

Item 5 Additional Compensation

Brian McAuley derives no additional advisory services compensation outside of client fees at Eagle Harbor Asset Management, Inc. and Sitka Pacific Capital Management, LLC

Item 6 Supervision

Brian McAuley will be supervised by Andrew P. Loechl, CFA. Brian McAuley is required to follow and abide by the compliance and policy procedures of Eagle Harbor Asset Management, Inc. as stipulated by law and the SEC.

Item 7 Arbitration and Other Claims

A. In addition to the events listed in Item 3, Brian McAuley has **not** been *involved* in any of the following events listed below:

1. An award or otherwise being *found* liable in an arbitration claim alleging damages in excess of \$2,500, *involving* any of the following:

- (a) an investment or an *investment-related* business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

2. An award or otherwise being *found* liable in a civil, *self-regulatory organization*, or administrative *proceeding involving* any of the following:

- (a) an investment or an *investment-related* business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices

B. Brian McAuley has not been a subject in a bankruptcy petition